



13 Rec'd PCT/PTO 01 OCT 2001

09/913923

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :

Beck et al.

Serial No.: **Unknown**

Filed: **August 17, 2001**

For: **Microelectronic Device For Storing Information And Method Thereof**

Date: **September 27, 2001**

Group Art Unit:

Examiner:

Docket No.: **CH9-1998-0049US1**

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
FEB 27 2002
TO 2630 MAIL ROOM

TRANSMITTAL LETTER

Sir:

Being filed herewith are copies of the following:

- 1) Certificate Of Mailing
- 2) Information Disclosure Statement Transmittal Letter
- 3) PTO-1449 Form w/ 5 references
- 4) Acknowledgment Postcard

The above items are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

In the event any additional extensions of time are required, please treat this paper as a petition to extend the time as required and charge Deposit Account No. 09-0468.

Respectfully submitted,

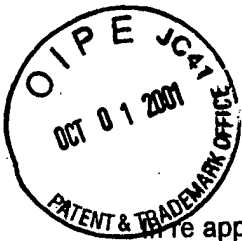
Derek S. Jennings - Date September 27, 2001
Reg. Patent Agent/Engineer
Reg. No. 41,473
Tel. No. (914) 945-2144

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598

Docket No. **CH919980049US1**

Page 1 of 1

Serial No. **Unknown**



2800

JC16 PCT/PTO 01 OCT 2001

2824 CT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of :

Date: September 27, 2001

Beck et al.

Group Art Unit:

Serial No.: Unknown 09913723

Examiner:

Filed: August 17, 2001

Packet No.: CH9-1998-0049US1

For: Microelectronic Device For Storing Information and Method Thereof

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)

I hereby certify that the attached correspondence comprising:

- Transmittal Letter
- Information Disclosure Statement Transmittal Letter
- PTO 1449 Form with 5 references
- Acknowledgment Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

on September 27, 2001

La' Tricia M. Van Buren
(Type or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

RECEIVED
FEB 27 2002
ITC 2800 MAIL ROOM



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :

Beck et al.

Serial No.: Unknown

Filed: August 17, 2001

For: Microelectronic Device For Storing Information And Method Thereof

Assistant Commissioner for Patents
Washington, D.C. 20231

Date: September 27, 2001

Group Art Unit:

Examiner:

Docket No.: CH9-1998-0049US1


RECEIVED
FEB 27 2002
TO 2800 HALL ROOM

TRANSMITTAL LETTER

Sir:

Please find enclosed a listing (PTO Form 1449) of references which may be relevant to the above-referenced application. This listing of references is not a representation that a search has been made, that better references than listed do not exist, or that other references are not applicable. Moreover, this listing does not constitute any admission by applicants or applicants' representative that the information provided herein is necessarily prior art to applicants' invention. Please charge any fee necessary to enter this paper to deposit account 09-0468.

Respectfully submitted,


Derek S. Jennings
Reg. No. 41,473
(914) 945-2144

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.

SERIAL NO.

CH9-1998-0049US1

Unknown

**LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**

APPLICANT:

Beck et al.

FILING DATE:

August 17, 2001

GROUP: Unknown

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA	5,623,439	4/22/01	Gotoh et al.	H01L	29/78	
	AB	5,723,885	3/03/98	Ooishi	H01L	29/76	
	AC	3,663,458	5/16/72	Takeshi Masuyama et al.	H01B	1/06	
	AD	4,767,729	8/30/98	Osman et al.	C04B	35/02	
	AE						
	AF						
	AG						
	AH						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AI							
	AH							
	AK							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AL

"The Physics Of Ferroelectric Memories", by Orlando Auciello, James F. Scott, and Ramamoorthy Ramesh, XP-002108793, July 1998, pages 22-27

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.